

CONFIDENTIAL

CONFIDENTIAL

CONFIDENTIAL

RECEIVED
CENTRAL FAX CENTER

MAR 07 2005

BIOTECHNOLOGY LAW GROUP
658 MARSDEN AVENUE
SOLANA BEACH, CA 92075BRUCE D. GRANT
A PROFESSIONAL CORPORATION
A CALIFORNIA CORPORATION
TEL: 858-623-9470 FAX: 858-623-9476**Fax**

Commissioner of Patents

From: Bruce D. Grant

Appl: Attorney Docket No. SEQ-4067-UT

Fax: (703) 872-9306

Date: March 7, 2005

Phone:

Pages: (8) including cover

Re: Filing of Power of Attorney & Statement Under 37 C.F.R. 3.73(b)

☐ Urgent☐ For Review☐ Please Comment☒ Please Reply☐ Please Recycle

RE: SN: 10/723,670

Filed: 25 November 2003

Inventor/Applicant: ROTH, Richard B., et al.

Please enter the Power of Attorney and Statement Under 37 CFR 3.73(b).
This facsimile transmission includes the following:

1. Power of Attorney (1 pg.); and
2. Statement Under 37 CFR 3.73(b) (1 pg.)
3. Assignment (5 pgs.)

Thank you for your assistance and please do not hesitate to contact me should you have any questions or comments.

Best Regards,

Bruce D. Grant (Reg. No. 47,608)

Registered Patent Attorney

CONFIDENTIAL TRANSMISSION

The information contained in this fax transmission or electronic mail is intended for the named recipients only and may contain privileged and confidential material. Any other distribution, copying, or disclosure is strictly prohibited. If you have received this transmission in error, please notify the sender immediately and destroy or delete the original transmission without making a copy.

MAR 07 2005

PTO/SB/96 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Roth, Richard B, et al.Application No./Patent No.: 10/723,870 Filed/Issue Date: November 25, 2003Entitled: Methods for Identifying Risk of Breast Cancer and Treatments Thereof

Sequenom, Inc. a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

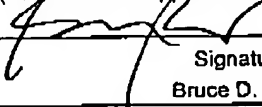
1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature
Bruce D. Grant

Printed or Typed Name

Registered Patent Attorney

Title

17 FEB 2005

Date
858 623-9470

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Attorney Docket No.: 524592006700

ASSIGNMENT JOINT

THIS ASSIGNMENT, by Richard B. ROTH, residing at 9245 Regents Road, Apt. 409, La Jolla, California 92037; Caridad ROSETTE, residing at 4578 Robbins Street, San Diego, California 92122; Mikhail F. DENISENKO, residing at 13073 Oak Knoll Drive, Poway, California 92064; Matthew Roberts NELSON, residing at 1250 Calle Prospero, San Marcos, California 92069; Rikard RENELAND, residing at 7555 Charmant Drive, #1114, San Diego, California 92122; Andreas BRAUN, residing at 3935 Lago Di Grata Circle, San Diego, California 92130; and Stefan M. KAMMERER, residing at 3825 Elijah Court, Unit 334, San Diego, California 92130 (hereinafter referred to as the assignors), respectively, witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in **METHODS FOR IDENTIFYING RISK OF BREAST CANCER AND TREATMENTS THEREOF**, set forth in an application for Letters Patent of the United States, bearing Serial No. 10/723,670 and filed on November 25, 2003; and

WHEREAS, SEQUENOM, INC., a corporation duly organized under and pursuant to the laws of California and having its principal place of business at 3595 John Hopkins Court, San Diego, California 92121 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon;

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

Attorney Docket No.: 524592006700

Date 5/17/04Richard B. Roth
Richard B. RothState of CaliforniaCounty of San Diego

} ss:

On 17 May 2004 before me, Reene Zavala, personally appeared Richard B. Roth, ☒ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Renee Suzanne Zavala
Notary Public in and for
said County and State

Date 5/12/04Caridad Rosette
Caridad RosetteState of CaliforniaCounty of San Diego

} ss:

On May 12, 2004 before me, Reene Zavala, notary public, personally appeared Caridad Rosette, ☒ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Renee Suzanne Zavala
Notary Public in and for
said County and State

Attorney Docket No.: 524592006700

Date 05-12-04Mikhail F. DenissenkoState of CaliforniaCounty of San Diego

} ss:

On May 12, 2004 before me, Renee Zavala, notary public, personally appeared Mikhail F. Denissenko, ☒ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Renee Suzanne Zavala
Notary Public in and for
said County and State

Date 5/12/04Matthew Roberts NelsonState of CaliforniaCounty of San Diego

} ss:

On May 12, 2004 before me, Renee Zavala, notary public, personally appeared Matthew Roberts Nelson, ☒ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Renee Suzanne Zavala
Notary Public in and for
said County and State

sd-196344

3

Attorney Docket No.: 524592006700

Date 4/14/04Rikard Reneland
Rikard RenelandState of CaliforniaCounty of San Diego

} ss:

On 14 May 2004 before me, Renée Zavala, notary public, personally appeared Rikard Reneland, ☒ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Renée Suzanne Zavala
Notary Public in and for
said County and State

Date 5-13-04Andreas Braun
Andreas BraunState of CaliforniaCounty of San Diego

} ss:

On 13 May 2004 before me, Renée Zavala, notary public, personally appeared Andreas Braun, ☒ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Renée Suzanne Zavala
Notary Public in and for
said County and State

sd-196344

4

Attorney Docket No.: 524592006700

5-12-04
DateS. Kammerer
Stefan M. KammererState of CaliforniaCounty of San Diego } ss:

On May 12, 2004 before me, Renee Zavala notary public, personally appeared Stefan M. Kammerer, & personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Renee Suzanne Zavala
Notary Public in and for
said County and State